vegan action

VEGAN AWARENESS FOUNDATION p.o. box 7313 • richmond, va 23221 phone 804-577-8341 • fax 804-254-8346

We only accept applications from companies with an office located in the United States, Canada, Australia, New Zealand, and US Territories.

There is a \$100 non-refundable application fee. If your application is approved and finalized, the fee will be applied to your first annual licensing fee payment.



2019 Application for Use of "Certified Vegan Logo" Trademark

The following company seeks permission to use the "Certified Vegan Logo" Trademark, hereinafter referred to as the Logo, on the attached list of product(s).

| Representative Name | | Title | | | |
|---------------------------|----------------|----------------------|----------|--|--|
| Parent Company Name (if a | oplicable) | Company Name | | | |
| "Doing Business As" Name | (if different) | Brand Name (if diffe | erent) | | |
| Street Address | | | | | |
| City | State | Country | Zip Code | | |
| Phone | | | | | |
| Email Address | Website Ad | ldress | | | |

Page 1 of 7

Licensing fee is assessed by company's past year total product annual revenue and is due at time of finalizing certification.

ANNUAL LICENSING FEE FOR VEGAN CERTIFIED LOGO

Please Circle:

| Fee band | Annual Revenue | Annual Fee |
|----------|--------------------|------------|
| А | Up to \$15,000 | \$150 USD |
| В | Up to \$100,000 | \$250 USD |
| С | Up to \$250,000 | \$400 USD |
| D | Up to \$500,000 | \$600 USD |
| Ē | Up to \$999,000 | \$1000 USD |
| F | Over \$1 million | \$2000 USD |
| G | Over \$10 million+ | \$3000 USD |

REQUIRED PAPERWORK

As of January 1, 2019 we require a No Animal Testing document from the manufacturer of every ingredient being submitted. This means that for every ingredient, you will need to submit two documents - one proving the source of the ingredient (plant, mineral, bacterial, fungal, or synthetic) and another on letterhead showing that the manufacturer has not tested THAT specific ingredient on animals (nor paid another organization to do so) since the year 2000. In addition, we are requiring more detailed information on ingredients that may be clarified, defoamed, filtered, or contain fillers or excipients. For this reason, we are requesting documentation showing all sweeteners, juices (including vinegars), beer, wine and alcohol have not been processed with any animal products. To make this easier for you, we provide an Ingredient Information Form for those ingredients detailing exactly what is needed. Please know we do all of this for the consumer, so they can be assured we are all doing our due diligence to show them they are indeed purchasing a vegan product.

The following documents must be submitted with this application in order to be reviewed:

- A list with each product and its ingredients, including those not required by labeling laws (fillers, excipients, processing aids, and carriers). You must specify the origin of ingredients: plant, mineral, algal, bacterial, or synthetic for all ingredients AND include documentation to show the source.
- 2. A signed Shared Machinery Statement (Page 6 of this application) as well as information regarding processing methods that may contain animal products or possible trace amounts of animal ingredients due to use of shared machinery must be explained. If shared machinery is used, documentation of clear cleaning methods to prevent cross contamination is required, a SOP for example, (if applicable). Use of shared machinery does not disqualify your products.
- 3. A signed No Animal Testing Statement from applicant that there has been no animal testing on the finished product.
- 4. Signed No Animal Testing Statements for each ingredient from the manufacturer that they have not conducted any animal testing or contracted animal testing on their behalf for the ingredient must be included in the application.
- 5. A signed No Animal By-Products statement from the applicant that there are no animal by-products in the processing of or the finished product.
- 6. Products containing genetically modified organisms (GMO) are acceptable as long as they have not involved animal genes or animal-derived substances in the development or production and must be labeled as such (if applicable).
- 7. Products containing sweeteners will need verification from the supplier that it has not been filtered through bone char or finished with animal products before being approved. Please include this verification with your application (if applicable). Ex. agave, maple syrup, corn syrup, sugar, etc.
- 8. If applying for or product contains beer, wine, vinegar or fruit juice, a statement showing that no animal by-products are used as a clarifying agent is required.
- 9. If applying for or product contains a subingredient that is a thickener, enzymes, probiotics, cultures, bacterial strains, plant proteins, vitamins, oral minerals, flavors, colors or fragrances, a statement showing they are not processed with animal products.

Information submitted to the Vegan Awareness Foundation/Vegan Action will be kept in total confidentiality. Let us know if you need a NDA signed.

In applying for use of the Certified Vegan Logo:

- We guarantee that each product submitted is strictly vegan, containing no animal ingredients or animal by-products, using no animal ingredient or animal by-products in the manufacturing process, and not being tested on animals.
- Submitting this application does not give authorization to use the "Certified Vegan" Logo until application is approved.
- Unauthorized use of the "Certified Vegan" Logo is a violation of Federal Trademark laws.

I understand and agree to the above statements.

Applicant signature

Company Representative

Date

Thank you for your application.

We appreciate your company's commitment to market vegan products!

Please fax, mail, or email your completed application to the address below. Allow approximately 4-6 weeks for the certification process to be completed (depending on number of products being submitted and number of ingredients of each product).

There is a \$100 non-refundable application fee. If your application is approved and finalized, the fee will be applied to your first annual licensing fee payment. The link to make that payment is here.

If you have a question about the status of your application, you may send us an e-mail at certification@vegan.org.

Please read over the "Vegan Certification Process and FAQs" available on our website to help you understand the process and answer some of your questions.

Vegan Awareness Foundation/ Vegan Action Mailing Address: P.O. Box 7313 Richmond, VA 23221 Package and Courier Address: 3126 West Cary Street Richmond, VA 23221 Email: certification@vegan.org Web: www.vegan.org

Page 3 of 7

vegan action

VEGAN AWARENESS FOUNDATION p.o. box 7313 • richmond, va 23221 phone 804-577-8341 • fax 804-254-8346 www.vegan.org



2019 No Animal Products or By-Products Statement Signed by Applicant

The term 'vegan' is defined as containing no animal ingredients or animal byproducts (to include honey, clarifying agents, and sugar refined with bone char), using no animal ingredient or by-product in the manufacturing process, and not being tested on animals by any company or independent contractor.

_____ company's products submitted for Vegan Certification do not contain ingredients or sourced materials that contain animal or animal by-products in the processing or finished product (trace ingredients from shared machinery are permitted).

Initial _____

company agrees to not use ingredients or sourced materials that contain animal or animal by-products in the processing or finished product on individual products approved to use and bear the Certified Vegan Logo (trace ingredients from shared machinery are permitted).

Initial _____

Representative Name

Representative Signature

Date



VEGAN AWARENESS FOUNDATION p.o. box 7313 • richmond, va 23221 phone 804-577-8341 • fax 804-254-8346 www.vegan.org



2019 No Animal Testing Statement Signed by Applicant

The definition of 'no-animal testing' is defined as no use of animals (live or deceased) for any type of research purposes whatsoever to include feed or nutrition trials, toxicity testing, or animal tests or trials "as required by law" and not being tested by another company or independent contractor.

_____ company does not test the final finished product(s) being submitted for Vegan Certification on animals.

Initial _____

_____ company does not contract another company to test finished final product(s), the ingredients, or products on animals.

Initial _____

company agrees to not test products or ingredients on animals or use sourced materials or ingredients that have been tested on animals in the future that are used in products approved for Vegan Certification.

Initial _____

Company Representative Name

Company Representative Signature



VEGAN AWARENESS FOUDATION p.o. box 7313 • richmond, va 23221 phone 804-577-8341 • fax 804-254-8346 www.vegan.org



2019 Shared Machinery Statement filled out by Applicant

We do allow companies to use shared machinery (machinery that runs products containting animal products/ingredients) in the production of their vegan products. Companies must provide documentation on the steps that are taken to thoroughly clean the machinery to avoid cross-contamination of animal products.

company DOES NOT use shared machinery to process the products being submitted for Vegan Certification.

OR

_____ company DOES use shared machinery to process the products being submitted for Vegan Certification and uses appropriate and acceptable methods to prevent cross-contamination of non-vegan ingredients as much as possible. PLEASE CHECK ONE OF THE TWO OPTIONS BELOW.

We DO NOT currently have a printed notification to consumers on our product labels regarding shared machinery/trace ingredients.

OR

We **DO** currently have a printed notification to consumers on our product labels regarding shared machinery/trace ingredients. PLEASE FILL OUT THE LINE BELOW.

The following wording is used on product labels to identify shared machinery/trace ingredients to consumers:



VEGAN AWARENESS FOUNDATION p.o. box 7313 • richmond, va 23221 phone 804-577-8341 • fax 804-254-8346 www.vegan.org



2019 No Animal Testing Statement Signed by Individual Ingredient Manufacturer

The definition of 'no-animal testing' is defined as no use of animals (live or deceased) for any type of research purposes whatsoever to include feed or nutrition trials, toxicity testing, or animal tests or trials "as required by law" and not being tested by another company or independent contractor.

| | company/manufacturer has not |
|--|-------------------------------------|
| tested the following ingredient | on animals since the |
| year 2000. | Initial |
| | company/manufacturer does not |
| contract another company on their behalf to animals. | test the above listed ingredient on |

Initial _____

company/manufacturer does not intend to test the above listed ingredient on animals in the future. If this no-animal testing policy changes for the above listed ingredient, the company/manufacturer agrees to notify the company applying for Vegan Certification. **Initial**

Company Representative Name

Company Representative Signature

Vegan Action - Vegan Certification 2019 Ingredient Information Form

This form is to assist manufacturers in determining what documentation is required for Vegan Action to approve an individual ingredient being submitted for Vegan Certification.

This form is required for the following ingredients: all sweeteners, beer, wine, liquor, prebiotics, probiotics, cultures, vitamins and oral minerals, vinegar, juice, bacterial strains, cultured ingredients, and enzymes.

All questions require an answer. Please put NA if the question does not apply.

MANY QUESTIONS INDICATE THAT ADDITIONAL DOCUMENTATION IS REQUIRED TO SUBMIT THIS FORM.

Thank you.

* Required

1. Company applying for Vegan Certification *

2. Ingredient name *

| 3. | Ingredient Distributor/Manufacturer/Supplier |
|----|--|
| | Company and Representative Name filling out |
| | this form. * |

| Have you conducted animal testing or paid another entity to conduct animal testing on this ingredient since the year 2000? (The DEFINITION of 'no-animal testing' is defined as no use of animals (live or deceased) for any type of research purposes whatsoever to include feed or nutrition trials, toxicity testing, or animal tests or trials "as required by law" and not being tested |
|--|
| by another company or independent contractor.) * |
| Mark only one oval. |

| _ | | | | | | | | | | |
|---|-------|------|------------|------|-----|---------|-------|-------|-------------|----|
|) | Yes - | This | ingredient | does | NOT | nualify | / f∩r | Vegan | Certificati | on |
| | | | | | | | | | | |

No - A signed document confirming this must be provided.

5. Is this ingredient or its sub-ingredients, including inputs used to produce them, derived from an animal source (dairy, meat, eggs, bee products, wool, skin, egg shells, insect dyes, etc)? * Mark only one oval.

| _ | | | | | | | |
|---|-----------|------------|------------|--------------|--------|---------|-------------|
| | ves - Th | is inarodi | ont ie N∩` | F acceptable | for Va | an Ca | rtification |
| | yc3 - 111 | na mgreun | | acceptable | 101 10 | syan oc | luncation |

🔵 No

6. Ingredient Properties - Component Type *

Check all that apply.

| Single input - This ingredient consists of a single raw material source. This ingredient does | NOT |
|---|-----|
| contain any additives, carriers, excipients, preservatives, anti-caking agents, etc. | |

Multiple Inputs - This ingredient is a compound that contains more than one ingredient.

| Other: | |
|--------|--|
| | |

7. Single Input Ingredient - This ingredient is sourced from a single material listed below (DOCUMENTATION MUST BE ATTACHED TO SHOW WHETHER IT IS A DERIVATIVE OF A PLANT, MINERAL, FUNGUS OR IS SYNTHETIC).

^{8.} Multiple Inputs - This ingredient is sourced from the following sub-ingredients (list percentage of each to total 100%). (DOCUMENTATION MUST BE ATTACHED TO SHOW WHETHER IT IS A DERIVATIVE OF A PLANT, MINERAL, FUNGUS OR IS SYNTHETIC). *

| 4 | Does this ingredient use any processing aids, excipients, or carriers? (ANY AND ALL inputs added or used during the processing of the product but are removed in some manner before the product is finalized; added during the processing of the product and converted into constituents normally present in the product and which does not significantly increase the amount of the constituents naturally found in the product; or added to the product during processing but is present in the finished product at insignificant levels). * Mark only one oval. |
|----------------------|--|
| | |
| | Yes |
| | No |
| | If processing aids are used or present, please list below (including oils, filters, clarifiers, defoamers, etc). (DOCUMENTATION MUST BE ATTACHED TO SHOW WHETHER IT IS A DERIVATIVE OF A PLANT, MINERAL, FUNGUS OR IS SYNTHETIC) * |
| - | |
| - | |
| - | |
| I | Is this ingredient or its sub-ingredients, including inputs used to produce them, microbial or produced with a microbial culture? * Mark only one oval. |
| | Yes - Please answer next question |
| | |
| | No |
| t (| If a microbial culture is used, please list the type of medium used to grow the culture below. [DOCUMENTATION MUST BE ATTACHED TO SHOW WHETHER IT IS PLANT, MINERAL, FUNGUS OR IS SYNTHETIC) * |
| 6 | Is this ingredient or its sub-ingredients, including inputs used to produce them, either an enzyme or produced with enzymes? (dairy and animal by-product sources are not permitted) * Mark only one oval. |
| | yes - please answer next question |
| | No |
| | |
| e / | If an enzyme is present, list the source of the enzyme below. (DOCUMENTATION MUST BE ATTACHED TO SHOW WHETHER IT IS A DERIVATIVE OF A PLANT, MINERAL, FUNGUS OR IS SYNTHETIC) * |
| | Has this ingredient been produced using gene technology? * Mark only one oval. Yes - please answer next question |
| | No |
| 5 | If this ingredient is genetically modified, list the source of the GMO. (animal based GMOs are not permitted) * |
| - | |

vegan **action**